



Document ID 1337871	Version 3.0	Status Approved	Reg no	Page 1 (7)
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## SDU-508 - Instruction for model and data quality assurance for the SR-PSU project

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# 1 Introduction and application of the plan

This document gives an overview of QA instructions related to the handling of model calculations and input data for the safety assessment SR-PSU.

In the assessment project SR-PSU, numerous computational tasks are performed. This involves, for instance, testing of different hypothesis, calculation of input data or performance and migration calculations. The computational tasks are identified in an Assessment Model Flowchart, AMF, /SKBdoc 1319657/ which illustrates, graphically, how the tasks are related and how data are passed. In addition to the tasks identified in the AMF, other kinds of calculations are also performed within the framework of the safety assessment project. This involves, for instance, conversion of units, pre- and post-processing of data or other kinds of simpler, easily verified calculations. These simpler calculations are, although necessary for the assessment, not regarded as assessment calculations and hence not covered by the QA routine. This is further discussed in the instruction [SDU-504 - SR-PSU Model Summary Report \(MSR\) Instruction](#).

Important objects used for model and data QA are (each described below in chapter 4-12):

- **The Assessment model flowchart** /SKBdoc 1319657/, in which data and models are identified.
- **Process reports**, these are vital for code QA as the processes important for long-term safety are identified and evaluated. QA routines for these are presented in separate documents, [SDU-502 Instruction for developing process descriptions in SR-PSU](#).
- **Initial state report** defines the initial state of the repository and hence provides important input data for many of the computational tasks.
- **The Data report** presents data used in the assessment together with discussions on and quantifications of associated uncertainties. Assessment Model Flowcharts are used to identify the data that are to be included in the Data report. A separate instruction, [SDU-505 – Supplying data for the SR-PSU data report](#), exists for qualifying data for the assessment.
- **The Data storage** is used to store data that are, for any reason, not suitable to include in the Data report in the desired format. Data in the Data storage may however appear in the Data report (or other reports) in other formats. Geographical information is for instance best displayed when plotted on maps and vast number of data points may preferably be presented in histograms. The Data storage runs under a version control system which allows for revisions of the data sets to be stored.
- **The Model summary report** presents all codes (identified from the Assessment model flowchart) used in the assessment. In the Model summary report rationales for choosing a certain code are given as well as the formal decision to use the code for assessment calculations. A separate instruction, [SDU-504 - SR-PSU MSR Instruction](#), exist for qualifying models for use in the assessment.
- **The Model storage** is a centralised storage area where models, source codes and other kind of files, like Excel spreadsheets, are stored. The model storage is version controlled so that all revisions of a specific file could be obtained. As some codes are used and owned by contractors, it is not required to store codes in the Model storage.
- **Planning documents** is where every responsible person for each area of their expertise describes how their work in the project progress. It can also describe how computational tasks should be performed. In the plan, input data, methods to be used in the calculations and expected results are specified (see chapter 9).
- **The calculation reports** are used for presenting results of computational tasks in the calculation reports. A template is provided for the analysis documentation. There exists no separate instruction for the Analysis documentation and following the analysis documentation is not mandatory.
- **Control of data used in calculations/modelling tasks**, since data in the data report will not be qualified until late in the project, analyses and calculations within the project will have to

start based on preliminary, not yet qualified data. In order to ensure that the final analyses/calculations are carried out using qualified data, a check of used data against the qualified data provided in the data report is required. [SDU-507 Instruction for use of preliminary data used in SR-PSU calculations/modelling](#) is to define a procedure for such a final control of data against qualified data in the data report and for documentation of the control.

- **The Issue tracking system** is used mainly for code development but also in combination with the Data storage where errors in codes and data are reported. There is no separate instruction for the Issue tracking system and using the Issue tracking system is not mandatory.

In the following sections each of the above objects is described in more detail.

## 2 Objective

The purpose and objective of this plan is to provide an overview of quality assurance routines related to data and models used in the safety assessment SR-PSU. The plan also refers to other important instructions related to data and models.

## 3 Scope

This plan applies to all handling of data and models and to all calculations and modelling work in the safety assessment SR-PSU. It applies both to the SR-PSU project team and to suppliers to the project, from within SKB and from external suppliers.

## 4 Assessment model flowchart

In the Assessment Model Flowchart, AMF, /SKBdoc 1319657/ modelling activities, input and output to and from the activities and assessments based on model output are identified for different parts of the repository system. Due to the nature of the safety assessment project, the AMFs will be continuously updated, and the final versions will appear at the final stage of the project.

The teams behind the assessment methodology are responsible for keeping the AMFs updated.

## 5 The Data report

The objective of the Data report is to compile input data, with uncertainty estimates, for the SR-PSU assessment calculations for a wide selection of conditions. Instructions for qualifying data for the assessment are presented in a separate document: [SDU-505 – Supplying data for the SR-PSU data report](#). The text below is a brief summary of that instruction.

Data should be assessed through standardised procedures, adapted to the importance of the data, aiming at identifying the origins of uncertainties and distinguish between input and judgements made by the assessment team. However, there are several issues related to data that are not covered in the Data report. Evaluation of processes and selection of models fit for use in the assessment process will be made in the Process reports. Selection of scenarios and calculation cases, which in turn define the conditions for which data need to be supplied, will be made in the Main report. The initial state of the repository is defined in the initial state report. Descriptions of the site will be given in the Site descriptive report. The Data report will be based on the judgements made in those reports and does not repeat information given there, unless it is needed for the further assessment of the information or for readability. However, additional judgements will sometimes be needed, in order to define input data in a form appropriate for use in the assessment.

As the data that should be presented in the Data report (data inventory) are based on the scenario selection in the Main report and the models presented in the AMFs, the final data inventory will not be complete until late in the project. The updated AMF and input from the SR-PSU team throughout the project will, however, provide sufficient information for producing the Data report.

The Data report team is responsible for the Data report.

## 6 The SR-PSU Data storage

The Data report cannot, due to the large amount of data, be the only source of qualified data for the assessment. Therefore, a centralised storage area where data could be exchanged is required.

All data stored in the storage have to be controlled and approved for use in a corresponding way as all data used in the analysis (see Chapter 10). All data in the storage should be well described including a reference to corresponding chapter in the Data report. The user of the data is responsible for using the latest dataset and for converting the data into a suitable form for analysis, how this is done is described in the Planning document, (Chapter 9).

Responsible for the Data storage is the Data report team. Decision on which data to be stored in the repository is made by the Data report team and the data supplier.

## 7 The Model summary report

The Model summary report covers the QA requirements for computer codes and mathematical models. Instructions for qualifying codes for use in the assessment are given in a separate document, [SDU-504 - SR-PSU MSR Instruction](#) The text below is a brief summary of that instruction.

The document produced according to the routine includes:

- Assessment model flow charts (AMFs) which are used to describe how the modelling tasks in the assessment are related and which data are passed between the models. QA requirements for the AMF are given earlier in the present document.
- A text describing the principles behind the QA measures for computer codes and calculations in the assessment. This text is summarised in a template which is used when presenting the codes. This text may be written by the user of the code.
- A presentation of each code used for modelling tasks identified in the AMF, with references to other documents that describe the mathematical model (the equations solved), verification measures, QA routines for input data handling and storage of results, QA routines for code development, version control, etc. This text may be written by the user of the code.
- A presentation of how data are passed to and from the model. This text may be written by the user of the code.
- A formal decision to use the code for assessment calculations.

The aim of the Model summary report is, however, not to discuss whether a certain process should be included in the modelling or to defend the selected input data. This is done in separate Process reports and the Data report. Moreover, as results of the computational tasks and the methodology used when performing the calculations are presented in separate documents, discussions on the accuracy in the results and other modelling related tasks are naturally also carried out in these documents.

The Model summary report team is responsible for the Model summary report.

## 8 The Model storage

The model storage is a source controlled storage area for storing codes. In the storage, code development and modifications should be conducted such that older versions can be reconstructed. The Model storage is based on Subversion and allows for both text based models as well as binary files to be version controlled. Hence, models from different sources like text macros, Excel spreadsheets and compiled codes may be stored at the same location. From a source control perspective there is no difference between the Data and the Model storages; the only reason why these are treated under different sections in this document is to highlight the difference from the user perspective.

The Model summary report team is responsible for the Model storage.

## 9 Planning document

The Planning document is a plan where every responsible person for each area of expertise describes and coordinates their work within their area and how it will progress in the project.

It should include information about:

- what is to be made in their area of expertise
- how it's made
- by whom
- when it's made according to time plan.

In the safety assessment SR-PSU, computational tasks may be ordered by the SR-PSU team and performed by single contractors, by expert groups being a part of the project or by SKB personnel outside the SR-PSU project group. The extent of these computational tasks may vary from being relatively small and well defined to more complex tasks where the responsibility of defining the problem and the input data required may be part of the task. This applies to all handling of data and models and to all calculations and modelling work in the safety assessment SR-PSU. It applies both to the SR-PSU project team and to suppliers to the project, from within SKB and from external suppliers.

A description in the SR-PSU planning documents contains information on how the computational tasks should be conducted and should be updated if prerequisites and/or data for the task are changed and if more detailed information of importance for conducting the computational task becomes available.

It should include applicable parts of the following:

- The input data to be used in the analysis, including uncertainties and distributions for probabilistic simulations.
- Location (and version) of input data to be used in the analysis. This text may point to different references, for instance the SR-PSU Data report (or a preliminary version thereof), other references or, for large amount of data, to physical locations on servers.
- If public data bases (thermodynamic data bases or data bases for radionuclide decay calculations) are used in the analysis, the version and location of that data is given.

If preliminary data are used it must be verified that the used data are in agreement with the final data being delivered later. These procedures should fulfil the requirements on documentation of the verification as specified in the instruction [SDU-507 Instruction for use of preliminary data used in SRPSU calculations/modelling](#).

Issues related to the software to be used in the analysis are presented and also how scripts and, if applicable, codes used in the analysis should be stored for future use.

It should include applicable parts of the following:

- A specification of the different codes (and the version) to be used in the analysis.
- If configuration files and scripts are used, a description of if and how these should be supplied to the SR-PSU team for future storage.
- If the codes used in the analysis should be stored on SKB servers the plan should include an instruction on how this should be handled.

It should also include applicable parts of the following:

- How the results of the task should be presented.
- Which results that should be made available in digital form.
- A specification of output formats for data produced in the computational tasks (if required by users of the data).

- A description of how results should be placed on a central storage location.
- If results, input files and executable files should be stored on non SKB servers (by the supplier) it should be stated for how long time these should be available. For files being archived on SKB servers, SKB's internal instructions are to be used.

## 10 The calculation reports

The results of the calculations are reported in a calculation report which is written by the persons performing the calculations. The report should be written so that a qualified person could reconstruct the work and reproduce the results. The exact outline is not prescribed (this is done in the Planning document) but the report should include topics as:

### Description of the problem

A description of the problem, including an explanation of the scientific approach, includes:

- Discussion and a sketch of the grid, if the problem was spatially discretized.
- Boundary and initial conditions.
- The time period of analysis.
- Any other aspects of the approach needed to provide traceability and reproducibility.

### Description of the code and input files that were used

- A description of the code including hardware, operating system and how the system was configured.
- All files (macros and input files) that are needed to reproduce the result.
- Software QA system used, for instance source control systems and issue tracking systems.

### Input data

A description of the input data and how input data are transformed into code specific input.

- Each parameter and a brief description.
- Identification and discussion on variables used for numerical control and solvers used. Note that this text should also include hard-wired data.
- How other data was input into the model, for instance by using the SR-PSU Data storage.
- For data not given in the Data report or from the Data storage, a discussion of the origin of the data and uncertainties therein.

### Results and discussion

A description of the work performed and the results obtained including:

- Tables, plots and discussion of results. The text should provide sufficient details to demonstrate to an equally qualified technical person that the results of the analysis adequately meet the purpose of the analysis.
- Discussion of other items necessary for traceability, transparency and reproducibility.

## 11 Issue tracking system

There is no separate instruction for the Issue tracking system and using the Issue tracking system is not mandatory. If the issue tracking system is used, bugs in the code, errors in input data and other issues may be reported. The issue tracking system keeps track of the version of the code and input data that the issue are related to and provides a front-end to the Data and Model storage. In the system, full revision history of the codes, the data and assigned tasks are available at a central location..

## 12 References

SDU-504 - SR-PSU Model summary report instruction

SDU-502 - Instruction for developing process descriptions in SR-PSU

SDU-505 – Supplying data for the SR-PSU data report

SDU-507 - Instruction for use of preliminary data used in SR-PSU calculations/modelling

SKBdoc 1319657 AMF for the safety assessment SR-PSU

## 13 Register of revisions

Version	Date	Content of revision	Made by	Reviewed by	Approved by
1.0	2012-04-04	New	See header	See header	See header
2.0	2012-05-14	Correction in text under section 4.	See header	See header	See header
3.0	2015-07-03	Minor editorial changes and adjustments to actual approach	See header	See header	See header